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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/662,443

09/16/2003

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018656-678

9825

21839 7590 12/02/2009  
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EXAMINER

VO, QUANG N

ART UNIT

PAPER NUMBER

2625

NOTIFICATION DATE

DELIVERY MODE

12/02/2009

ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ADIPFDD@bipc.com

<b>Office Action Summary</b>	<b>Application No.</b> 10/662,443	<b>Applicant(s)</b> YAMAGUCHI ET AL.	
	<b>Examiner</b> Quang N. Vo	<b>Art Unit</b> 2625	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 11 August 2009.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-25 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-25 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |                                                                                     |                                                                   |
|-------------------------------------------------------------------------------------|-------------------------------------------------------------------|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                    | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)         | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____                                                         | 6) <input type="checkbox"/> Other: _____                          |

## DETAILED ACTION

### *Response to Arguments*

Applicant's arguments with respect to claims have been considered but are moot in view of the new ground(s) of rejection.

### *Claim Rejections - 35 USC § 101*

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 11-16 and 24-25 are rejected under 35 U.S.C. 101 as not falling within one of the four statutory categories of invention. Supreme Court precedent<sup>1</sup> and recent Federal Circuit decisions<sup>2</sup> indicate that a statutory “process” under 35 U.S.C. 101 must (1) be tied to another statutory category (such as a particular apparatus), or (2) transform underlying subject matter (such as an article or material) to a different state or thing. While the instant claim(s) recite a series of steps or acts to be performed, the claim(s) neither transform underlying subject matter nor positively tie to another statutory category that accomplishes the claimed method steps, and therefore do not qualify as a statutory process. In particular, claims 11 and 14, while reciting “dividing image data...”, “calculating a isolated points...”, “determining the large block in a halftone dot region...” can be done either by hardware or software/program, does not define a “hardware/apparatus” and is thus non-statutory for that reasons, **recalling *In re***

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<sup>1</sup> *Diamond v. Diehr*, 450 U.S. 175, 184 (1981); *Parker v. Flook*, 437 U.S. 584, 588 n.9 (1978); *Gottschalk v. Benson*, 409 U.S. 63, 70 (1972); *Cochrane v. Deener*, 94 U.S. 780, 787-88 (1876).

<sup>2</sup> *In re Bilski*, 88 USPQ2d 1385 (Fed. Cir. 2008).

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***Bilski***. A program can range from paper on which the program is written, to a program simply contemplated and memorized by a person. The examiner suggests amending the claim to embody the program/software on “hardware/apparatus/device” in order to make the claim statutory.

Claims 12-13, 15-16 and 24-25 are rejected because it depends on claims 11 and 14.

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-3, 6-8, 11-16, 18-22 are rejected under 35 U.S.C. 102(b) as being anticipated by Ohuchi (US 5,025,481).

Regarding claim 1, Ohuchi discloses an image processing apparatus (e.g., a dot region discriminating apparatus, column 5, lines 32-36) that handles image data, comprising: a dividing unit for dividing image data into large blocks of a prescribed size (e.g., dividing the input image into blocks B each comprising N x N pixels, column 18, lines 15-17) and further subdividing the large blocks into multiple smaller blocks (e.g., each block B is subdivided into the small regions C(i), figure 16, column 18, lines 17-18); a large block isolated point calculation unit for calculating a first number of isolated points contained in each large block established by dividing unit (e.g., the dot region detecting part 13 discriminates whether or not a predetermined picture element within

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an object block B.sub.0 shown in FIG. 6 belongs to the dot region, based on the relationship between a number P.sub.0 of extreme points of the object block B.sub.0, column 18, lines 25-30); a small block isolated point calculation unit for calculating a respective second number of isolated points contained in each small block established by dividing unit (e.g., subdivides each block B into a plurality of small regions C.sub.i, and counts the number of extreme points indicating the peaks and the number of extreme points indicating the valleys for each small region C.sub.i of each block B, column 18, lines 17-21, figure 16); and a halftone-dot region determination unit for determining that a specified large block among the large blocks established by the dividing unit is a halftone-dot region (e.g., the dot region detecting part 13 discriminates whether or not a predetermined picture element within an object block B.sub.0 shown in FIG. 6 belongs to the dot region, column 18, lines 25-28) if all small blocks in the specified large block have an isolated point contained therein, based on the respective second numbers calculated by the small block isolated point calculation unit (e.g., subdivides each block B into a plurality of small regions C.sub.i, and counts the number of extreme points indicating the peaks and the number of extreme points indicating the valleys for each small region C.sub.i of each block B, column 18, lines 17-21), and if the first number of isolated points calculated to be contained in the specified large block by the large block isolated point calculation unit is greater than or equal to a first prescribed value (e.g., the dot region detecting part 13 discriminates whether or not a predetermined picture element within an object block B.sub.0 shown in FIG. 6 belongs to the dot region, based on the relationship between a number P.sub.0 of extreme

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points of the object block B.sub.0 and numbers P.sub.1 through P.sub.8 of extreme points of surrounding blocks B.sub.1 through B.sub.8., column 18, lines 25-31. Note: Examiner interprets numbers P.sub.1 through P.sub.8 of extreme points of surrounding blocks B.sub.1 through B.sub.8 as being a first prescribed value).

Regarding claim 2, Ohuchi discloses wherein halftone-dot region determination unit is operable to determine that the specified large block is a halftone-dot region if the respective second\_number of isolated points in each small block contained in the large block is greater than or equal to equals or exceeds a second prescribed value (e.g., subdivides each block B into a plurality of small regions C.sub.i, and counts the number of extreme points indicating the peaks and the number of extreme points indicating the valleys for each small region C.sub.i of each block B, column 18, lines 17-21; and the region discrimination signal output part 14 outputs a discrimination signal which indicates whether each picture element belongs to the dot region or the line region based on the result of the detection made in the dot region detecting part 13, column 18, lines 32-36. Thus the dot region detecting part 13 must base on a prescribed value to compare with extreme points indicating the peaks and the number of extreme points indicating the valleys for each small region C.sub.i of each block B for the region discrimination signal output part 14 outputs a discrimination signal which indicates whether each picture element belongs to the dot region or the line region).

With regard to claim 3, Ohuchi discloses wherein the second prescribed value is smaller than the first prescribed value (e.g., the larger numbers as the number of extreme points of block B, column 19, lines 8-21).

With regard to claim 6, Ohuchi discloses an image processing apparatus (e.g., a dot region discriminating apparatus, column 5, lines 32-36) that handles image data, comprising: a dividing unit for dividing image data into multiple small blocks (e.g., each block B is subdivided into the small regions, column 18, lines 17-18); a small block isolated point calculation unit for calculating a respective first number of isolated points contained in each small block established by dividing unit (e.g., the number q of extreme points is obtained for each of the small regions, column 18, lines 15-21); a large block isolated point calculation unit for calculating a second number of isolated points contained in a large block of the image data, the large block being composed of multiple smaller blocks based on an aggregated amount of the respective first number of isolated points calculated by small block isolated point calculation unit (e.g., the dot region detecting part 13 discriminates whether or not a predetermined picture element within an object block B.sub.0 shown in FIG. 6 belongs to the dot region, based on the relationship between a number P.sub.0 of extreme points of the object block B.sub.0, column 18, lines 25-30); and a halftone-dot region determination unit for determining that a specified large block among the large blocks established by the dividing unit is a halftone-dot region (e.g., the dot region detecting part 13 discriminates whether or not a predetermined picture element within an object block B.sub.0 shown in FIG. 6 belongs to the dot region, column 18, lines 25-28) if all small blocks in the specified large block have an isolated point contained therein, based on the respective second numbers calculated by the small block isolated point calculation unit (e.g., subdivides each block B into a plurality of small regions C.sub.i, and counts the number

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of extreme points indicating the peaks and the number of extreme points indicating the valleys for each small region C.sub.i of each block B, column 18, lines 17-21), and if the first number of isolated points calculated to be contained in the specified large block by the large block isolated point calculation unit is greater than or equal to a first prescribed value (e.g., the dot region detecting part 13 discriminates whether or not a predetermined picture element within an object block B.sub.0 shown in FIG. 6 belongs to the dot region, based on the relationship between a number P.sub.0 of extreme points of the object block B.sub.0 and numbers P.sub.1 through P.sub.8 of extreme points of surrounding blocks B.sub.1 through B.sub.8., column 18, lines 25-31. Note: Examiner interprets numbers P.sub.1 through P.sub.8 of extreme points of surrounding blocks B.sub.1 through B.sub.8 as being a first prescribed value).

With regard to claim 7, the subject matter is similar to claim 2. Therefore the rejection on claim 7 is set forth as above claim 2.

With regard to claim 8, the subject matter is similar to claim 3. Therefore the rejection on claim 8 is set forth as above claim 3.

Referring to claim 11:

Claim 11 is the method claim corresponding to operation of the device in claim 1 with method steps corresponding directly to the function of device elements in claim 1. Therefore claim 11 is rejected as set forth above for claim 1.

Referring to claim 12:



Claim 12 is the method claim corresponding to operation of the device in claim 2 with method steps corresponding directly to the function of device elements in claim 2. Therefore claim 12 is rejected as set forth above for claim 2.

Referring to claim 13:

Claim 13 is the method claim corresponding to operation of the device in claim 3 with method steps corresponding directly to the function of device elements in claim 3. Therefore claim 13 is rejected as set forth above for claim 3.

Referring to claim 14:

Claim 14 is the method claim corresponding to operation of the device in claim 6 with method steps corresponding directly to the function of device elements in claim 6. Therefore claim 14 is rejected as set forth above for claim 6.

Referring to claim 15:

Claim 15 is the method claim corresponding to operation of the device in claim 2 with method steps corresponding directly to the function of device elements in claim 2. Therefore claim 15 is rejected as set forth above for claim 2.

Referring to claim 16:

Claim 16 is the method claim corresponding to operation of the device in claim 3 with method steps corresponding directly to the function of device elements in claim 3. Therefore claim 16 is rejected as set forth above for claim 3.

With regard to claim 18, Ohuchi discloses substantially the claimed invention as set forth in the discussion above for claim 1.

Ohuchi does not disclose expressly a plurality of counters to count number of isolated points contained in a corresponding one of the small blocks.

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to have a counter to count isolated points for each small block. Applicant has not disclosed that plurality of counters to count each small block provides an advantage, is used for a particular purpose or solves a stated problem. One of ordinary skill in the art, furthermore, would have expected Applicant's invention to perform equally well with a counter to count plurality of small blocks because both perform the same function of counting isolated points.

Therefore, it would have been obvious to combine to one of ordinary skill in this art to modify Ohuchi with to obtain the invention as specified in claim 18.

With regard to claim 19, Ohuchi discloses wherein halftone-dot region determination unit comprises: a first determination unit for determining whether the calculated first number of isolated points in a large block equals or exceeds the first threshold value (column 6, lines 11-19); a second determination unit for determining whether each of plurality of isolated point counters of small block isolated point calculation unit have each counted at least one isolated point in the corresponding small block contained in the large block (column 18, lines 15-21); and a third determination unit for determining whether the large block is a halftone-dot region based on the determination results of first determination unit and second determination unit (column 19, lines 8-21).

With regard to claim 20, Ohuchi discloses wherein third determination unit is operable to determine that the large block is a halftone-dot region if first determination unit determines that the calculated number of isolated points in the large blocks equals or exceeds the threshold value (column 19, lines 54-61), and second determination unit determines that the predetermined number of isolated point counters have each counted at least one isolated point in the corresponding small block contained in the large block (column 20, lines 39-52).

With regard to claim 21, Ohuchi discloses wherein the number of isolated points contained in the large block equals the number of isolated points that small block isolated point calculation unit calculates for each small block composing the large block (column 18, lines 15-21).

With regard to claim 22, Ohuchi discloses wherein large block isolated point calculation unit is operable to calculate the number of isolated points contained in the large block by calculating the number of isolated points contained in a plurality of contiguous small blocks within a predetermined area of the image data (column 18, lines 15-31).

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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Claims 4, 5, 9, 10, 17, 23-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ohuchi (US 5,025,481) as applied to claim 1 above, and further in view of Kingetsu et al. (Kingetsu) (US 6,268,935).

With regard to claim 4, Ohuchi differs from claim 4, in that he does not explicitly teach an image processing unit for correcting the image data based on the results of determination by halftone-dot region determination unit.

Kingetsu discloses an image processing unit for correcting the image data based on the results of determination by halftone-dot region determination unit (e.g., blocks 18-25, 27-36, figure 1).

Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to have modified Ohuchi to include an image processing unit for correcting the image data based on the results of determination by halftone-dot region determination unit as taught by Kingetsu. It would have been obvious to one of ordinary skill in the art at the time of the invention to have modified Ohuchi by the teaching of Kingetsu to correct digital image.

With regard to claim 5, Kingetsu discloses further comprising: an image forming unit which performs image formation based on the image data corrected by image processing unit (e.g., bit map formation section 26, figure 1).

With regard to claim 9, the subject matter is similar to claim 4. Therefore the rejection on claim 9 is set forth as above claim 4.

With regard to claim 10, the subject matter is similar to claim 5. Therefore the rejection on claim 10 is set forth as above claim 5.

With regard to claim 17, Kingetsu discloses further comprising a character determination unit (e.g., dot detection section, column 3, lines 23-26) for determining whether at least one character region exists in the image data, wherein: image processing (e.g., blocks 18-25, 27-36, figure 1) unit is operable to correct the image data based on the results of determination by halftone-dot region determination unit and character determination unit (e.g., dot detection section, discrimination section, figure 1); and image forming unit is operable to perform image formation based on the image data corrected by image processing unit (e.g., bit map formation section 26, figure 1).

With regard to claim 23, Kingetsu discloses further comprising a character determination unit for determining whether at least one character region exists in the image data (column 3, lines 22-26), wherein: image processing unit is operable to correct the image data based on the results of determination by halftone-dot region determination unit and character determination unit (column 4, lines 20-37); and image forming unit is operable to perform image formation based on the image data corrected by image processing unit (e.g., block 26, figure 1, column 12, lines 30-36).

With regard to claim 24, Kingetsu discloses an image processing method as claimed in claim 11, further comprising the steps of: correcting the image data based on the results of determination of determining step (column 4, lines 20-37); and forming images based on the corrected image data (e.g., block 26, figure 1, column 12, lines 30-36).

With regard to claim 25, Kingetsu discloses an image processing method as claimed in claim 14, further comprising the steps of: correcting the image data based on the results of determination of determining step (column 4, lines 20-37); and forming images based on the corrected image data (e.g., block 26, figure 1, column 12, lines 30-36).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Quang N. Vo whose telephone number is (571)270-1121. The examiner can normally be reached on 7:30AM-5:00PM Monday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David K. Moore can be reached on (571)272-7437. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Q. N. V./  
Examiner, Art Unit 2625

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/David K Moore/

Supervisory Patent Examiner, Art Unit 2625